

GP 2171

SEP 06 2002

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY FOR UTILITY PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below, next to our names,

We believe that we are first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND SYSTEM FOR UTILIZING A DATABASE AS A SERVICE

the specification of which

___ is attached hereto.

☒ was filed on September 27, 2001 as Application Ser. No.: 09/966,624
and was amended on January 30, 2002 (if applicable).

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SEP 12 2002

Technology Center 2100

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a).

We hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)Priority Claimed

| <u>(Number)</u> | <u>(Country)</u> | <u>(Day/Month/Year Filed)</u> | <u>Yes</u> | <u>No</u> |
|-----------------|------------------|-------------------------------|------------|-----------|
| <u>(Number)</u> | <u>(Country)</u> | <u>(Day/Month/Year Filed)</u> | <u>Yes</u> | <u>No</u> |

We hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| <u>(Application Serial No.)</u> | <u>(Filing Date)</u> | <u>(Status -- patented, pending, abandoned)</u> |
|---------------------------------|----------------------|---|
| <u>(Application Serial No.)</u> | <u>(Filing Date)</u> | <u>(Status -- patented, pending, abandoned)</u> |

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As named inventors, we hereby appoint the following attorneys and/or agents to prosecute this application and conduct all business in the United States Patent and Trademark Office connected therewith.

Romualdas Strimaitis, Reg. No. 35,697
Prentiss W. Johnson, Reg. No. 33,123
Ingrid M. Foerster, Reg. No. 36,511
Christopher A. Hughes, Reg. No. 26,914
John E. Hoel, Reg. No. 26,279
Edward A. Pennington, Reg. No. 32,588
Christine Smith, Reg. No. 43,133
Farrokh E. Pourmirzaie, Reg. No. 45,297

Joseph C. Redmond, Jr., Reg. No. 18,753
Joseph A. Sawyer, Jr., Reg. No. 30,801
Janyce R. Mitchell, Reg. No. 40,095
Stephen G. Sullivan, Reg. No. 38,329
Michele Liu, Reg. No. 44,875
Joyce A. Tom, Reg. No. 48,681
Richard M. Goldman, 25,585
Gregory M. Plow, Reg. No. 43,005

Please direct all telephone calls to Mr. Joseph A. Sawyer, Jr. at telephone number (650) 493-4540 and address all correspondence to: **SAWYER LAW GROUP, P.O. Box 51418, Palo Alto, California 94303**

Full Name of first/joint Inventor: **Balakrishna Raghavendra IYER**

Residence Address: **3049 Nashville Drive
San Jose, California 95133
USA**

Country of Citizenship: **United States of America**

Post Office Address: **Same**

Signature of Inventor:



Date: 4/24/2002

Full Name of second/joint Inventor: **Charles William LICKEL**

Residence Address: **2357 Richland Avenue
San Jose, California 95125
USA**

Country of Citizenship: **United States of America**

Post Office Address: **Same**

Signature of Inventor:



Date: 4/5/02

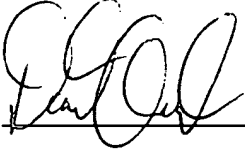
COMBINED (SUPPLEMENTAL) DECLARATION/POWER OF ATTORNEY (combined)

Full Name of **third**/joint Inventor: **Dante Aligheri AUBERT**

Residence Address: **4049 Payne Avenue
San Jose, CA 95117
USA**

Country of Citizenship: **United States of America**

Post Office Address: **Same**

Signature of Inventor: _____

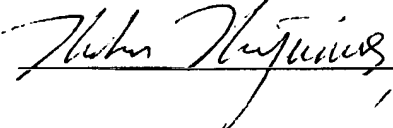
Date: 4/4/2002

Full Name of **fourth**/joint Inventor: **Vahit Hakan HACIGUMUS**

Residence Address: **332 Briar Ridge Drive
San Jose, CA 95123
USA**

Country of Citizenship: **Turkey**

Post Office Address: **Same**

Signature of Inventor: _____

Date: 4/3/2002

COPY OF PAPERS
ORIGINALLY FILED

GP 2171 #6

Attorney Docket: SVL920010077US1/2307P

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231, on September 3, 2002.

Grace Alioca

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: September 3, 2002

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IYER, et al.

SEP 12 2002

Serial No.: 09/966,624

Group Art Unit: 2171

Technology Center 2100

Filed: Sept. 27, 2001

Examiner: *to be assigned*

For: **METHOD AND SYSTEM FOR UTILIZING A DATABASE AS A SERVICE**

Assistant Commissioner for Patents
Washington, D.C. 20231

PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR §1.48(a)

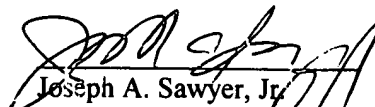
Sir:

At the time of filing this application, two inventors were mistakenly omitted from the Declaration and Power of Attorney. Applicant respectfully requests the inclusion of these two inventors, namely, Dante Aligheri AUBERT and Vahit Hakan HACIGUMUS.

Submitted herewith are: (1) Statement by Omitted Inventors; (2) Recordation Cover Sheet and Assignment (by omitted inventors); (3) Assent of Assignee to Correction/Addition of Inventorship under 37 CFR §1.48(a); and Supplemental Declaration of Power of Attorney for Utility Patent Application signed by each of the total of four inventors.

Should any unresolved issues remain, Examiner is invited to call Applicant's attorney at the telephone number indicated below.

Respectfully submitted,



Joseph A. Sawyer, Jr.
Sawyer Law Group LLP
Attorney for Applicants
Reg. No. 30,801

09/13/2002 NPDHAKM1 00000101 09966624

10:122

10:00 IP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: March 28, 2002

Iyer, et al.

Serial No.: 09/966,624

Group Art Unit: 2171

Filed: September 27, 2001

Examiner: *to be assigned*

For: METHOD AND SYSTEM FOR UTILIZING A DATABASE AS A SERVICE

Assistant Commissioner for Patents
Washington, D.C. 20231

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STATEMENT BY OMITTED INVENTORS
UNDER 37 CFR § 1.48(a)

Sir:

We, Dante Aligheri AUBERT and Vahit Hakan HACIGUMUS, hereby state that we believe we are original, first and joint-inventors in the above-referenced patent application, and that the error identifying the inventorship of the above-referenced patent application occurred without any deceptive intention on our part.

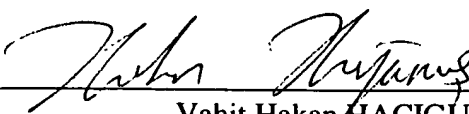
Submitted herewith is a Declaration pursuant to 37 C.F.R. §1.63 executed by ourselves and our co-inventors, Balakrishna Raghavendara IYER and Charles William LICKEL. Further, as this application was previously assigned of record to International Business Machines Corporation, we submit an Assignment executed concurrently with the Declaration.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signed this 14TH day of APRIL, 2002.

Dante Aligheri AUBERT

Signed this 3 day of April, 2002.


Vahit Hakan HACIGUMUS

TRANSMITTAL FORM

Attorney Docket No.

SVL920010077US1/2307P

In re the application of IYER, et al.

Serial No: 09/966,624

Filed: Sep. 27, 2001

Date: September 3, 2002

Group Art Unit: 2171

Examiner: unknown

COPY OF PAPERS
ORIGINALLY FILED

For: METHOD AND SYSTEM FOR UTILIZING A DATABASE AS A SERVICE

ENCLOSURES (check all that apply)

| | | | | | |
|--------------------------|-------------------------------------|--|---|--------------------------|---|
| <input type="checkbox"/> | Amendment/Reply | <input checked="" type="checkbox"/> | Assignment and Recordation Cover Sheet | <input type="checkbox"/> | After Allowance Communication to Group |
| <input type="checkbox"/> | After Final | <input checked="" type="checkbox"/> | Supplemental Declaration and Power of Attorney | <input type="checkbox"/> | Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> | Information disclosure statement | <input type="checkbox"/> | Assent of Assignee to Correction/Addition of Inventorship Under 37 CFR §1.48(a) | <input type="checkbox"/> | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> | Form 1449 | <input type="checkbox"/> | Statement by Omitted Inventors Under 37 CFR §1.48(a) | <input type="checkbox"/> | Status Letter |
| <input type="checkbox"/> | (X) Copies of References | <input type="checkbox"/> | Petition for Correction of Inventorship Under 37 CFR §1.48(a) | <input type="checkbox"/> | Postcard |
| <input type="checkbox"/> | Extension of Time Request * | <input type="checkbox"/> | Fee Address Indication Form | <input type="checkbox"/> | Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> | Response to Missing Parts | *Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxx month(s), from to . | | | |
| <input type="checkbox"/> | Executed Declaration by Inventor(s) | | | | |

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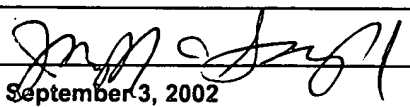
CLAIMS

| FOR | Claims Remaining After Amendment | Highest # of Claims Previously Paid For | Extra Claims | RATE | FEE |
|--------------------|----------------------------------|---|--------------|---------|---------|
| Total Claims | 0 | 0 | 0 | \$18.00 | \$ 0.00 |
| Independent Claims | 0 | 0 | 0 | \$34.00 | \$ 0.00 |
| Total Fees | | | | | \$ 0.00 |

METHOD OF PAYMENT

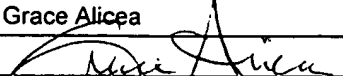
| | |
|--------------------------|---|
| <input type="checkbox"/> | Check no. 4813 in the amount of \$130.00 is enclosed for payment of fees. |
| <input type="checkbox"/> | Charge \$ _____ to Deposit Account No. _____ (Account Holder Name) for payment of fees. |
| <input type="checkbox"/> | Charge any additional fees or credit any overpayment to Deposit Account No. 02-2120 (Account Holder Name) |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|---------------|---|
| Attorney Name | Joseph A. Sawyer, Jr., Reg. No. 30801 |
| Signature |  |
| Date | September 3, 2002 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 3, 2002

| | |
|----------------------|---|
| Type or printed name | Grace Alicea |
| Signature |  |